

# **SW CAPITAL PRIVATE LIMITED**

## **POLICY ON PRE FUNDED INSTRUMENT**

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In securities market/financial market, movements of unidentified money/black money are cancer of our society and economic culture. The ill gotten money is entering in market and affecting the economy adversely. To curb the circulation of black money/unidentified money in our market SUNTECK has adopted following policies:

1. If the aggregate amount of pre funded instruments(DD/PAYORDER/BAKKER'S CHEQUE) is Rs. 50,000/-(Rupees Fifty Thousands Only) or more, per day per client, the instruments can be accepted only if the same are accompanied by the name of bank account holder and bank account number debited for the instruments, duly certified by issuing bank. The mode of certification shall be as follows:
  - a. Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank.
  - b. Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument.
  - c. Certified copy of the passbook/bank statement for the account debited to issue the instrument.
  - d. Authentication of the bank account-number debited and name of the account holder by the issuing bank on the reverse of the instrument.
  
2. Further, in case of electronic fund transfer, we ensure that the funds are received from the respective clients only and the relevant documentary proof in this regards are duly preserved.

**For SW Capital Pvt. Ltd.**

**Sd/-  
Compliance Officer**