## SW CAPITAL PRIVATE LIMITED

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## POLICY ON DISSEMINATION OF MARKET RELATED INFORMATION:

It's a Master Policy of SW Capital Private Limited (herein after referred as "SWCPL") for dissemination of market related information. This policy is subject to the rules and regulations of the Exchange(s)/SEBI from time to time.

In securities market information is money. But wrong information can damage the price discovery concept of the stock market and create substantial damage in confidence of public deposed in the stock market.

To prevent outflow of any rumours or wrong information in market, the employees may get from the market so as to prevent any loss/damage to the clients influence on stocks due to these information. The Research Department will collate information from reliable sources only. Only official websites of regulators exchanges, companies, or publishers must be used to collate data viz. <u>www.nseindia.com</u>, <u>www.bseindia.com</u>, <u>www.rbi.gov.in</u>, <u>www.incometaxindia.gov.in</u>, <u>www.sebi.gov.in</u> etc. All the data collected and mentioned in any report must have the source written with it. The Branch managers, relationship managers, authorized persons, sub-brokers or any other employee of the company will not divulge any information without taking an approval from the Compliance Officer to their respective clients.

Any research paper that is released under the name of our organization must have the approval of the Compliance Officer or the VP-Back Office and must contain the disclaimer clause on all written and published material.

All employees of the company specifically Research Personnel, front office and Marketing employees shall adhere the policy and shall not divulge any information related to market/stocks received/gained in his official capacity or in his personal capacity during the tenure of service unless and until specifically approved by Compliance Officer in writing. The employee/official of the company shall use the e-mail id created for him by the company which shall be under super vision of it department.

They shall not use their personal e-mail id for communication with the clients. The use of messenger, social website, chat messenger etc. shall be restricted. It is only allowed with the specific permission of IT HEAD on specific situation.

For SW Capital Pvt. Ltd.

Sd/-Compliance Officer