

SWCAPITAL

| Trend of Annual Compliants Disposal (Broking) | | | | | |
|--|-------------|---|---------------------------------|---------------------------------|--------------------------------|
| Sr.No | Year | Carried Forward from previous year | Received during the year | Resolved during the year | Pending during the year |
| 1 | 2018-19 | 0 | 0 | 0 | 0 |
| 2 | 2019-20 | 0 | 0 | 0 | 0 |
| 3 | 2020-21 | 0 | 0 | 0 | 0 |
| 4 | 2021-22 | 0 | 1 | 1 | 0 |
| 5 | 2022-23 | 0 | 0 | 0 | 0 |
| 6 | 2023-24 | 0 | 0 | 0 | 0 |

| Trend of Annual Compliants Disposal (DP) | | | | | |
|---|-------------|---|---------------------------------|---------------------------------|--------------------------------|
| Sr.No | Year | Carried Forward from previous year | Received during the year | Resolved during the year | Pending during the year |
| 1 | 2018-19 | 0 | 1 | 1 | 0 |
| 2 | 2019-20 | 0 | 1 | 1 | 0 |
| 3 | 2020-21 | 0 | 0 | 0 | 0 |
| 4 | 2021-22 | 0 | 0 | 0 | 0 |
| 5 | 2022-23 | 0 | 0 | 0 | 0 |
| 6 | 2023-24 | 0 | 0 | 0 | 0 |