भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange

**Board of India** MIRSD II/DM/OW/ 32 950<sub>/2011</sub>

October 20, 2011

The Managing Director MCX Stock Exchange Limited, Exchange Square, CTS No. 255, Suren Road,

1:10

Andheri (East), Mumbai-400093.

Dear Sir,

Sub: Change in name of Stock Broker from M/s Satguru Capital and Finance Private Limited to M/s. Sunteck Wealthmax Capital Private Limited

Please find enclosed the registration certificate duly changed as requested by you. The details of the stock brokers' certificate are as follows:

Registration Number	Former Name/Address Certificate No. of the Broker	Present Name/Address Certificate No. of the Broker
INE260655339	M/s. Satguru Capital and Pinance Private Limited	M/s. Sonteck Wealthmax Capital Private Limited
	(Former Certificate No.1821)	(Duplicate Certificate No. 2929)

You are hereby requested to communicate the following paragraphs to M/s Sunteck Wealthmax Capital Private Limited while forwarding the said registration certificate to him.

"Pursuant to issuance of a certificate of registration affesh consequent on change in the name of the broker from M/s Saigum Capital and Finance Private Limited to M/s. Sunterk Wealthmas Capital Private Limited, the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc, under the old name prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for /by/executed by the broker under its new name.",

"The broker should intimate to all its sub-brokers, chents etc about the name change and a copy of this letter should be provided to them."

"The broker shall also inform all us sub-brokers that consequent on validation of the Certificate of Registration already issued under old name to the new name by virtue of the aforesaid clause, the sub-brokerneed not submit their certificate of registration to SEBI for effecting the change of name of the broker in

Yours faithfully

DIPANTAN MITRA

ASST, GENERAL MANAGER

Encl: as stated

सेबी भवन, प्लॉट सं. सौ ४-ए, "जी" स्लॉक, बांडा कुर्ला कॉम्प्लेक्स, बांडा (पूर्व), मुंबई - ४०० ०५१. दूरभाष : 2644 9950 / 4045 9950 (आई वी.आर. एस.), 26449000 / 40459000 फैक्स : 2644 9019 से 2644 9022 लेब www.sebi.gov.in I/w No. 193187 dt. 3/10/2011.



## MARKET INTERMEDIARIES REGULATION AND SUPERVISION DEPARTMENT - H

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

VP(HK)/VP(KK)

MIRSD-II/DM/OW/31095/2011 September 30, 2011

Managing Director National Stock Exchange of India Ud. Exchange Plaza,

Bandra Kurla Complex, Bandra (E)

Mumbai 400051

Dear Sir,

Sub: Change in name of Stock Broker from M/s Satguro Capital and Finance Private Limited to M/s. Sunteck Wealthmax Capital Private Limited

Please find enclosed the registration certificate duly changed as requested by you. The details of the stock brokers' certificate are as follows:

Registration Number	Former Name/Address Certificate No. of the Broker	Present Name/Address Certificate No. of the Broker
INB/F/E 230655339	M/s. Satguru Capital and Finance Private Limited	M/s. Sunteck Wealthmax Capital Private Limited
	(Former Certificate No. 15869,2972 & 2849)	(Duplicate Certificate No. 17035,3996 & 2913) )

You are hereby requested to communicate the following paragraphs to M/s Sunteck Wealthmax Capital Prevate Limited while forwarding the said registration certificate to him.

"Pursuant to issuance of a certificate of registration afresh consequent on change in the name of the broker from M/s Satguru Capital and Finance Private Limited to M/s. Sunteck Wealthmax Capital Private Limited, the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc, under the old name prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for /by/executed by the broker under its new name."

"The broker should intimate to all its sub-brokers, clients ero about the name change and a copy of this letter should be provided to them."

"The broker shall also inform all its sub-brokers that consequent on validation of the Certificate of Registration already issued under old name to the new name by virtue of the aforesaid clause, the sub-brokers need not submit their certificate of registration to SEBI for effecting the change of name of the broker in their certificates."

Yours faithfully,

DU'ANJAN MITRA

ASST, GENERAL MANAGER

Encl : as stated

संबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051. दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 26449000 / 40459000 फैक्स : 2644 9019 से 2644 9022 वेश : هرية عليه المراجعة ا MARKET INTERMEDIARIES REGULATION AND SUPERVISION DEPARTMENT - II

The Chief Executive Officer Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers 2-©11 - 3685 भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India MIRSD-II/DM/OW/31762/2011 October 4, 2011

12 007 201 69056 M. Sanji / 19/10/11

Dear Sir,

Dalal Street MUMBAI 400 001

Sub: Change in name of Stock Broker from M/s Satguru Capital and Finance Private Limited to M/s. Sunteck Wealthmax Capital Private Limited

Please find enclosed the registration certificate duly changed as requested by you. The details of the stock brokers' certificate are as follows:

Registration Number	Former Name/Address Certificate No. of the Broker	Present Name/Address Certificate No. of the Broker
INB/F 010655331	M/s. Satguru Capital and Finance Private Limited	M/s. Sunteck Wealthmax Capital Private Limited
	(Former Certificate No.15875, 3933)	(Duplicate Certificate No. 17037, 3997)

You are hereby requested to communicate the following paragraphs to M/s Sunteck Wealthmax Capital Private Limited while forwarding the said registration certificate to him.

"Pursuant to issuance of a certificate of registration afresh consequent on change in the name of the broker from M/s Satguru Capital and Finance Private Limited to M/s. Sunteck Wealthmax Capital Private Limited, the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc, under the old name prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for /by/executed by the broker under its new name."

"The broker should intimate to all its sub-brokers, clients etc about the name change and a copy of this letter should be provided to them."

"The broker shall also inform all its sub-brokers that consequent on validation of the Certificate of Registration already issued under old name to the new name by virtue of the aforesaid clause, the sub-brokers need not submit their certificate of registration to SEBI for effecting the change of name of the broker in their certificates."

Yours faithfully,

DIPANJAN MITRA ASST. GENERAL MANAGER

Encl: as stated

Dote: 12 CCT 2011