
Sunteck
GROUP
SW1AIF
Smart Investments. Stronger Futures.
SWCAPITAL
www.swcapital.in
SW1 AIF | SEBI reg no: IN/AIF3/26-27/2257 | Sponsored by SW Capital Private Limited

SW CAPITAL

SW1 AIF

30+ years of market experience — built across clients, markets and strategies.

OUR MARKET EXPERTISE

What SW Capital brings to SW1 AIF

30+

Navigating multiple market cycles

1990s • 2002–08 • 2018 • COVID • TODAY

HNI & FAMILY CLIENTS	Long-standing relationships with sophisticated investors
INSTITUTIONAL CLIENTS	Experience serving institutional & corporate clients
ALGO Trading	Active participation across market conditions
WEALTH MANAGEMENT	Evolving market expertise into focused solutions

SW Capital : Where 30+ years of Institutional intelligence meets HNI Capital

Navigating Every Market Cycle

- **2000s** — Growth & Reforms
- **2002** — Dot-Com Correction
- **2008** — Global Financial Crisis
- **2020** — Covid 19 Volatility
- **Today** — Recovery & New Opportunities

Category III AIF — The Future

Why the structure works, and how the industry has grown

SW1 AIF

01 Strategy Flexibility
Freedom to use leverage, derivatives and short-selling within SEBI limits — tools unavailable to mutual funds and most PMS.

02 Alignment of Interest
Pooled, co-mingled structure; the Investment Manager typically commits its own capital alongside investors.

03 Regulatory Oversight
Registered and regulated by SEBI under the AIF Regulations, 2012, with defined governance, custody and reporting.

04 Focused Capital
Limited fund size supports sharper focus and active management.

05 Faster, Flexible Action
Ability to rebalance and churn the portfolio as opportunities evolve.

06 Broader Opportunity Set
Listed equity plus selected primary, secondary and private opportunities.

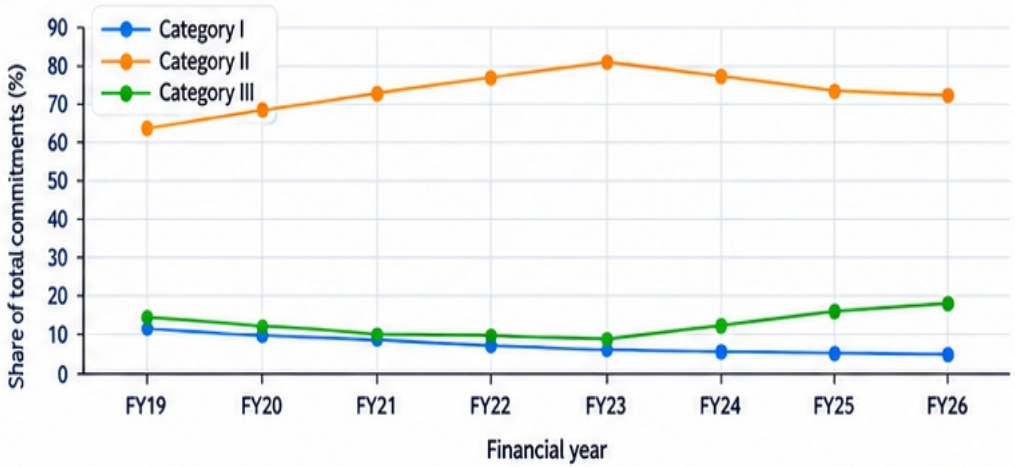
07 Downside Flexibility
Ability to hedge during periods of excess volatility.

08 Investor Convenience
Fund-level tax and reporting administration, subject to applicable rules.

India AIF Industry — Total Commitments



India AIF Industry — Commitment Mix by Category



Source: SEBI / AIF industry data

1 THE PROBLEM

Buy and Hold Strategy only captures only 20–25% of potential movement

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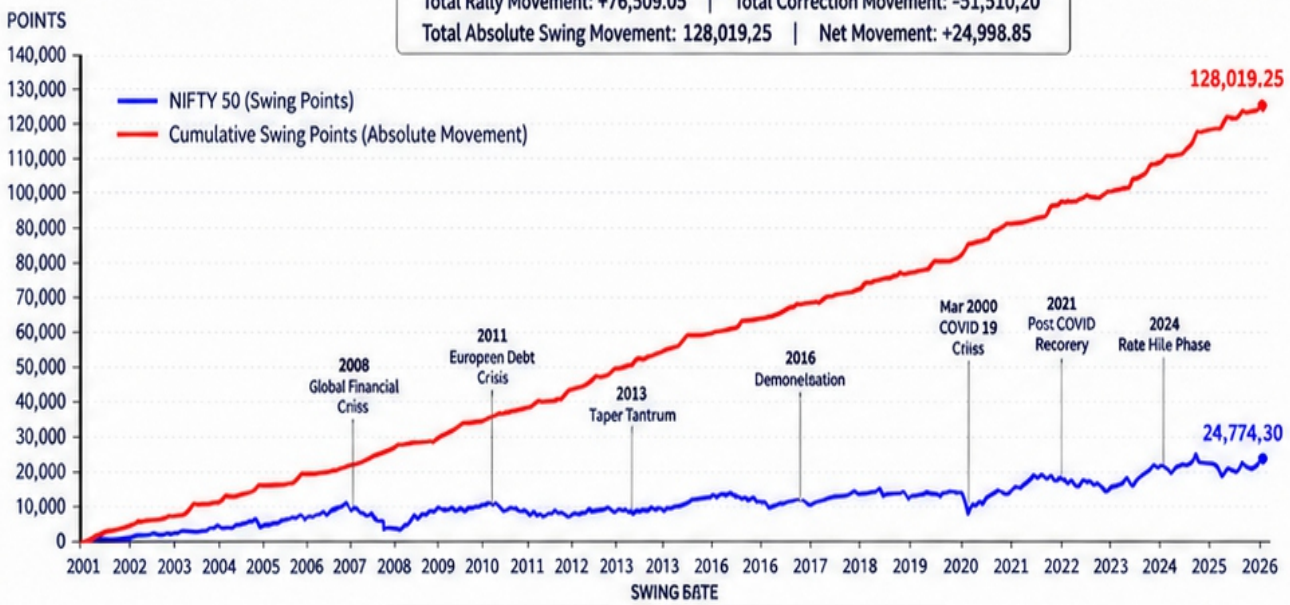
NIFTY 50 – ALL 78 SWINGS (2001 – 2026)

+76,509.05	-51,510.20	128,019.25	+24,998.85
Total Rally Movement	Total Correction Movement	Total Absolute Swing Movement	Net Movement (NIFTY 50)

NIFTY 50 – ALL 78 SWINGS (2001 – 2026)

NIFTY & CUMULATIVE SWING POINTS (ABSOLUTE MOVEMENT)

Total Rally Movement: +76,509.05 | Total Correction Movement: -51,510,20
Total Absolute Swing Movement: 128,019,25 | Net Movement: +24,998.85



78 SWINGS | FROM 21-SEP-2001 (693,95) TO 03-AUG-2026 (24,774.30)

WHAT THE DATA SHOWS

A conventional Buy and Hold Strategy Over last 25 Years would have captured approx.

25000 Index points

VS

The actual swing movement totalling to approx.

128,000 Index points, of which

76500 (Upswing)

51500 (Downswing)

THE OPPORTUNITY

Is to be able to plug this huge gap and generate **extra Alpha**

2 THE SOLUTION

CAPTURE MAJOR SWINGS. PROTECT CAPITAL. STAY FLEXIBLE.



Our objective is to bridge the gap between **NIFTY 50** net movement and the broader swing opportunity.

- Timely Cash Calls
- Sector rotation
- Timely Over-weight underweight calls
- Tactical calls
- Gold and Currency

OUR APPROACH IN ACTION

01 IDENTIFY
Research & market analysis

02 INVEST
Enter strong upside swings

03 PROTECT
Hedge / manage risk

04 SIT IN CASH
When risk-reward is unfavorable

05 REPEAT
Re-enter next opportunity

Our focus is not on being invested all the time — but on being invested at the right time.

INVESTMENT PROCESS

From idea generation to portfolio construction



01

Idea Generation

Quantitative screens, sector mapping, and management interactions surface investable ideas.

02

Fundamental + Tactical Research

Deep-dive financial modeling, channel checks, and valuation work validate the thesis.

03

Risk-Sized Execution

Positions sized to portfolio risk budget; hedges layered where appropriate.

04

Ongoing Monitoring

Continuous thesis tracking, stop-loss discipline, and periodic portfolio review.

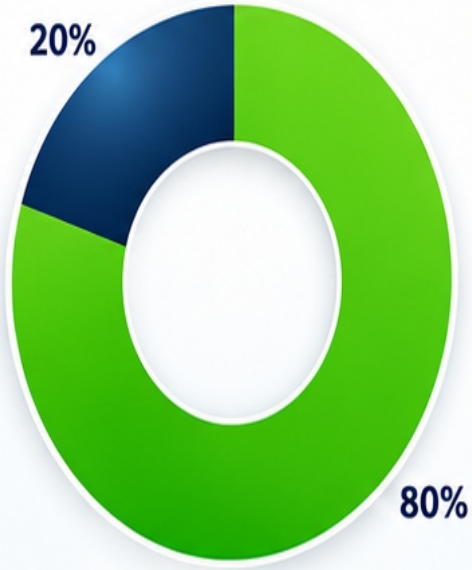
This process is applied consistently across the Core Long, Tactical Short, Event-Driven, and Arbitrage sleeves described on the preceding page.

The Scheme

SW1 AIF

Fund Allocation

A focused core with flexibility for opportunities



80%

Long-Only Listed Equities

Core portfolio • Concentrated listed equity exposure

20%

Special Situation & Tactical Opportunity

Flexible allocation for attractive opportunities

Alpha | Tactical | Hedging

Focused capital + tactical flexibility

Fund Details

Key structural terms of the offering

Fund Name	SW1 AIF
Category	Close Ended, Category III AIF
Lock-in Period	3 Years + 2 Years extendable (subject to investor consent)
Strategy	Long Only
Corpus	₹100 cr (Including Green shoe option)
Minimum Investment	₹100 lakh
Drawdown Schedule	3 tranches (40%, 30%, 30%)

Focused Investing | Experienced Judgement | Flexible Execution

Our Stock Selection Process

SW1 AIF

Focused portfolio construction, deep research and experienced oversight.



Broad Universe
1,000+
Investment Universe

- Listed equities across market caps
- All sectors and themes
- Continuous idea flow

Screening
Quality | Growth | Valuation
Financial Strength

- Quantitative & qualitative filters
- Business quality assessment
- Attractive risk-reward setup

Shortlist
Focused candidates for deeper research

- In-depth fundamental analysis
- Management assessment
- Industry & competitive analysis

Deep-Dive
Business + Management
Earnings + Cash Flows
Risk

- Detailed financial modeling
- Scenario and sensitivity analysis
- Key risk evaluation

30–35
High-Conviction Portfolio

- Concentrated, high-quality portfolio
- Disciplined position sizing
- Ongoing review and risk management

How the Final Decision Is Made

Once a stock clears every screening stage on the left, it moves here for final review, sign-off and execution.

01	**Sector Identification & Market Assessment**	Analyse sectors, themes and macroeconomic environment.
02	**Stock Identification (Top 1000 Universe & Growth Sectors)**	Screen and identify potential opportunities form our investment universe.
03	**Detailed Financial & Governance Screening**	In-depth financial analysis, management quality and governance review.
04	**Investment Committee Review & Approval**	Discussion, risk assessment and final approval.
05	**Execution of Investment**	Discipline-based execution with ongoing monitoring.

Focused Investing
High-quality ideas with clear risk-reward.

Experienced Judgement
Backed by research and market insight.

Flexible Execution
Adaptable to market opportunities.

SW1 AIF

Fund Management and Investment Committee



CA - Anand Shroff

Key investment committee

- 22+ years of experience in strategic advisory, corporate finance and capital markets, with extensive exposure to investment debt transactions and strategic capital allocation.
- Extensive expertise in Mergers & Acquisitions, corporate restructuring, and debt and equity capital raising, across diverse sectors and market environments.
- Advised promoters, family offices and institutional investors on domestic and international investment opportunities, strategic investments and long-term capital allocation.
- Deep understanding of business fundamentals, valuation, corporate strategy and transaction dynamics, with a focus on sustainable long-term value creation.



Mr. Pankaj Jain, B.Tech

Key investment committee

- 22+ years of experience in equity research, investment management and portfolio construction, with extensive exposure on multiple market cycles.
- Proven track record of identifying high-quality investment opportunities and **picking opportunities** through fundamental research, sector analysis and disciplined stock selection.
- Extensive experience in advising HNIs, family offices and institutional investors on equity investments and portfolio strategies.
- Strong expertise in portfolio construction, risk management and capital allocation, with a focus on generating sustainable long-term returns.
- Deep understanding of Indian equity markets, business fundamentals, valuation, sectoral trends and market cycles.
- Brings a strong AIF-**centric** investment perspective, with the ability & intent to pick opportunities, assess risk-reward, structure transactions and create value through disciplined capital deployments.
- Investment approach **centred** on quality, growth, valuation discipline and prudent risk **management, with a long-term** wealth creation perspective.



Mr. Amit Pitale, MBA

Key investment committee

- 22+ years of experience across finance, capital markets, investment operations and financial management.
- Extensive expertise in stock broking, algorithmic trading, arbitrage strategies and market execution, with experience across diverse market environments.
- Strong understanding of market structure, trading strategies, risk management and portfolio execution, with a focus on disciplined capital deployments.
- Proven experience in financial operations, regulatory compliance and business management, ensuring robust governance and operational controls.

Our Team

SW1 AIF



Mr. Sarvendra Srivastava, CMT

25+ years of market experience as a senior researcher and multi asset strategist.

Head Strategy & Operations



Mr. Kuldeep Singh MBA

Rich experience in equity research and portfolio management.

Research Analyst

Best in Class Service Providers

Custody and Fund Accounting	:	Kotak Mahindra Bank
RTA	:	CAMS
Trustee	:	Axis Trustee Services Limited
Tax Advisors	:	BDO

Contact Details

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